

# Terms and Conditions as per SEBI RA Regulations

Agreement between Mr **Amish Gupta** and Kumar Siddhartha Sinha who is registered with the Securities and Exchange Board of India (SEBI) as a Research Analyst (RA) under Registration No. INH000017310 and BSE Enlistment No. 6277.

## 1. Availing the research services:

By accepting delivery of the research service, the client confirms that he/she has elected to subscribe the research service of the RA at his/her sole discretion. RA confirms that research services shall be rendered in accordance with the applicable provisions of the RA Regulations.

## 2. Obligation on Research Analyst:

RA and the client shall be bound by SEBI Act and all the applicable rules and regulations of SEBI, including the RA Regulations and relevant notifications of Government, as may be in force, from time to time.

## 3. Client Information and KYC:

The client shall furnish all such details in full as may be required by the RA in its standard form with supporting details, if required, as may be made mandatory by RAASB/SEBI from time to time. RA shall collect, store, upload and/or check KYC records with the KYC Registration Agency (KRA) as specified by SEBI from time to time.

## 4. Standard Terms of Service:

By subscribing to our services, the Client acknowledges and agrees to the following:

*"I/We have read and understood the terms and conditions applicable to a research analyst as defined under regulation 2(1)(u) of the SEBI (Research Analyst) Regulations, 2014, including the fee structure."*

*"I/We are subscribing to the research services for our own benefits and consumption, and any reliance placed on the research report provided by research analyst shall be as per our own judgement and assessment of the conclusions contained in the research report."*

*"I/We understand that -*

- (i) Any investment made based on the recommendations in the research report are subject to market risk.
- (ii) Recommendations in the research report do not provide any assurance of returns.
- (iii) There is no recourse to claim any losses incurred on the investments made based on the recommendations in the research report"

## Declarations from Kumar Siddhartha Sinha

(i) I am duly registered with SEBI as Research Analyst under Registration No. INH000017310 on 04 Jul 2024 and BSE Enlistment No. 6277 and compliant with the SEBI (Research Analyst) Regulations, 2014.

**Kumar Siddhartha Sinha**

**Client Signature**

- (ii) Currently we have valid SEBI registration and have required qualification to render services contemplated under RA regulations.
- (iii) We have no material adverse disciplinary history or any conflicts of interest that compromise the integrity of its recommendations.
- (iv) The maximum fee charged by the Research Analyst shall not exceed ₹1.51 lakhs per annum per family of clients.
- (v) The recommendations provided by us do not provide any assurance of returns.

## **5. Consideration and mode of payment:**

The client shall duly pay to RA, the agreed fees for the services that RA renders to the client and statutory charges, as applicable. Such fees and statutory charges shall be payable through the specified manner and modes communicated by the Research Analyst (including but not limited to payment gateways or processors like Instamojo, Cashfree, Razorpay, Stripe, Jodo, NEFT, IMPS, Centralised Fee Collection Mechanism for RA, Cheque, etc.). We may collect 3 months advance payment based on mutual agreement.

## **6. Risk Factors:**

Investments in securities markets are inherently risky and subject to market dynamics. Registration granted by SEBI, and certification from NISM in no way guarantee performance of the intermediary or provide any assurance of returns to clients.

## **7. Conflict of Interest:**

The Research Analyst adheres to SEBI's guidelines on the disclosure and mitigation of actual or potential conflicts of interest. Full disclosures are provided in each research report.

## **8. Termination of service and refund of fees:**

The Research Analyst reserves the right to suspend or terminate the provision of research services to clients in the event of suspension or cancellation of its registration with SEBI, the Research analyst shall refund the residual amount to the client.

In case the certificate of registration of the Research Analyst is suspended for a period exceeding sixty (60) days or is cancelled, the Research Analyst shall refund the subscription fees to the client on a pro-rata basis for the period from the effective date of such suspension or cancellation to the end of the subscription period.

## **9. Grievance redressal and dispute resolution:**

For any support-related grievances, including issues related to non-receipt of reports or deficiencies in service, clients must email their concerns to [sidd.cma@gmail.com](mailto:sidd.cma@gmail.com). If unresolved, grievances must be escalated to the designated grievance officer. All grievances will be addressed within 7 (seven) business days or as per latest SEBI RA Regulations. For grievances and dispute resolution, please contact:

\* Email of compliance and grievance officer: [sidd.cma@gmail.com](mailto:sidd.cma@gmail.com)

\* For more details regarding grievance-related matters, Please Contact On **Mobile No - 9667274217**

## **10. Use of Research Reports:**

All research reports and related information are confidential and intended solely for the subscriber. Unauthorized distribution, reproduction, or use of these materials is strictly prohibited. Clients must independently assess all recommendations, and the Research Analyst assumes no responsibility for any losses incurred.

## **11. Mandatory Notice:**

Clients shall be requested to go through Doâ€™s and Donâ€™ts while dealing with RA as specified in SEBI master circular no. SEBI/HO/MIRSD-POD 1/P/CIR/2024/49 dated May 21, 2024 or as may be specified by SEBI from time to time.

## **12. Most Important Terms and Conditions (MITC):**

The terms and conditions and the consent thereon are for the research services provided by the RA and RA cannot execute or carry out any trade (purchase/sell transaction) on behalf of the client. Thus, you are advised not to permit the Research Analyst to execute any trade on their behalf.

To review the details of Most Important Terms and Conditions (MITC), please refer to the MITC section of our website: <https://rizwanshah.in/terms-and-conditions/>

We will revise MITC based on changes standardised by Industry Standards Forum (ISF) in consultation with SEBI and RAASB. This is will be updated on our website.

## **13. Optional Centralised Fee Collection Mechanism:**

Mohd Rizwan Shah in compliance with SEBI guidelines, informs clients about the availability of an optional Centralised Fee Collection Mechanism (CeFCoM) for the payment of fees. Clients opting for this mechanism will be provided with necessary guidance and instructions at the time of subscription. Kindly contact the research analyst on +91 9860898951 to make the payment via CeFCoM.

## **14. Use of Artificial Intelligence:**

we may infrequently use Artificial Intelligence (AI) tools in its research services to enhance the quality and efficiency of the recommendations provided to clients. In accordance with Regulation 24(7) of the SEBI (Research Analyst) Regulations, 2014:

(i) We take full responsibility for the security, confidentiality, and integrity of client data used in conjunction with AI tools

(ii) We ensure compliance with applicable laws regarding the use of AI tools

Neither any research service will be rendered nor any fee will be charged until consent is received from client on these terms and conditions.

**Kumar Siddhartha Sinha**

**Client Signature**

You are purchasing services	Price
StockRank Momentum Master	Rs. 5,000
StockRank AlphaQuest Long-Term Model Portfolio	Rs. 7,500
StockRank Nifty BankNifty Safe Sell	Rs. 1,999

For further details or updates, contact us on +91 9667274217 or email us at [sidd.cma@gmail.com](mailto:sidd.cma@gmail.com)

I, **Amish Gupta** hereby acknowledge and agree to all the terms and conditions set forth by Kumar Siddhartha Sinha, SEBI research analyst (Registration No INH000017310) regarding his services, including but not limited to the refund policy, service terms, privacy policy, and any other applicable policies.

By signing or accepting this agreement, I confirm that I have read, understood, and agreed to abide by these terms without any reservations.

**Kumar Siddhartha Sinha**

**Client Signature**